

#### **CIRCULAR**

### **NSE**

List of Securities moving to Stage I of Graded Surveillance Measure (GSM)

List of Securities moving to Stage II of Graded Surveillance Measure (GSM)

List of Securities moving to Stage III of Graded Surveillance Measure (GSM)

Applicability of Additional Surveillance Measure (ASM)

Applicability of Short Term Additional Surveillance Measure (ST-ASM)

Test Market Environment - Changes in Connectivity for dedicated lease line terminating at landing

point

Withdrawal of trading rights of member

Levy of fair usage charges for multi-leg IOC orders

Quantity Freeze Limits for Indices

Applicability of Additional Surveillance Measure (ASM)

Adjustment of Futures and Options contracts in the security ITC

Covid-19 Pandemic - Business Continuity Measures (Relaxation in Non Neat Systems Approval Process)

Cyber Security & Cyber Resilience framework for Stock Brokers/ Depository Participants

Cancellation of mock trading (Contingency) session scheduled on Saturday, July 04, 2020

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Applicable MWPL, TM, FII/FPI & MF Limits

Connectivity - Advisory

Non-Neat System Approvals

Offer for Sale - Introduction of Interoperability

### **BSE**

Application for Resignation of Membership

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Opening of Offer to Buy – Acquisition Window (Buyback) Tanla Solutions Limited

Opening of Offer to Buy – Acquisition Window (Takeover) IND RENEWABLE ENERGY LIMITED

Graded Surveillance Measure (GSM) - List of securities moving into their respective GSM Stages

CHANGE IN CIRCUIT FILTER

Enhanced supervision uploading client's funds and securities balance for the month of June, 2020.

## MCX

Sub leasing of extra office space available at MCX, GIFT City, Gandhinagar'

Application for Surrender of Membership

Empanelment of Additional Warehouse Service Provider (WSP)

Launch of Futures contracts on MCX iCOMDEX Bullion and Base Metal Indices

System Audit and Cyber Security & Cyber Resilience Audit of Trading Member – Reminder

Commencement of Futures Trading in Ten New Contracts

Commencement of Futures Trading in Mentha Oil December 2020 Contract

Commencement of Copper October 2020 Option Contract with Copper (2500 Kilograms) Futures

(Compulsory delivery) as underlying

Mock Trading Cycle for Options in Goods

Due Date Rate

Mock Trading Cycle for Options on Goods

Relaxation in timelines for reporting of Artificial Intelligence (AI) and Machine Learning (ML)

applications and systems offered and used by members of the Exchange

Review of Margin Period of Risk (MPOR)

# **ICEX**

PENALTY STRUCTURE FOR NON-COMPLIANCE IN HANDLING OF CLIENTS' SECURITIES BY MEMBERS

COMMENCEMENT OF FUTURES TRADING IN JUTE CONTRACT

## NCDEX

Futures & Options Contracts Launch in July 2020 and Premium / Discount for grade / delivery location difference

Final Settlement Price of contracts expired on June 30 2020

Settlement Calendar and timelines for submission of delivery intentions for all the contracts expiring in July 2020

Cut-off Time for Determining Minimum Threshold of Margins to be Collected from Clients

Acceptance of Collaterals - Bank Guarantee (BG) and Fixed Deposit Receipt (FDR) at Delhi office

Scheme of deposit for acceptance of Mutual Fund Units as collateral

Approved Securities under Scheme of Deposit - List of Eligible Securities

## **NSDL**

2020-0009-DDP-Policy -Temporary relaxation in processing of documents pertaining to FPIs due to

COVID-19

2020-0009-Policy-DDP- SEBI Circular dated June 23, 2020

2020-0037-Tender\_Offer\_IND Renewable Energy Limited.- Tender offer for Takeover

2020-0038-Tender Offer Tanla Solutions Limited - Tender offer for Buyback

2020-0086-Policy-SEBI circular on Relaxation in timelines for compliance....

2020-0086-Policy-SEBI circular dated June 30, 2020

### **INDIA INX**

Payment of SEBI Turnover fees for the month of June 2020

Relaxation in timelines for compliance with regulatory requirements

Submission of Quarterly reports regarding Cyber Security & Cyber Resilience framework for Stock Brokers

Reporting for Artificial Intelligence (AI) and Machine Learning (ML) applications and systems offered and used by market intermediaries

Relaxation in timelines for compliance with regulatory requirements

Combined futures & options position limits for single stock derivatives

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